INTEGRAL ESTIMATION IN QUANTUM PHYSICS

by

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in partial fulfillment of the requirements for the degree of

Doctor of Philosophy

in

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The University of Utah

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STATEMENT OF DISSERTATION APPROVAL

The dissertation of   **Jane Doe**

has been approved by the following supervisory committee members:

Cornelius Lánczos ,	Chair(s)	**17 Feb 2016**
		Date Approved
Hans Bethe ,	Member	**17 Feb 2016**
		Date Approved
Niels Bohr ,	Member	**17 Feb 2016**
		Date Approved
Max Born ,	Member	**17 Feb 2016**
		Date Approved
Paul A. M. Dirac ,	Member	**17 Feb 2016**
		Date Approved

by **Petrus Marcus Aurelius Featherstone-Hough** , Chair/Dean of

the Department/College/School of **Mathematics**

and by **Alice B. Toklas** , Dean of The Graduate School.

ABSTRACT

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

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For my parents, Alice and Bob.

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APPENDICES

LIST OF FIGURES

LIST OF TABLES

NOTATION AND SYMBOLS

α	fine-structure (dimensionless) constant, approximately $1/137$
α	radiation of doubly-ionized helium ions, He++
β	radiation of electrons
γ	radiation of very high frequency, beyond that of X rays
γ	Euler's constant, approximately $0.577\,215\ldots$
δ	stepsize in numerical integration
$\delta(x)$	Dirac's famous function
ϵ	a tiny number, usually in the context of a limit to zero
$\zeta(x)$	the famous Riemann zeta function
$\ldots$	$\ldots$
$\psi(x)$	logarithmic derivative of the gamma function
ω	frequency

TYPESETTING EXPERIMENTS

In this section, we use color in several places. The `\colorbox` command takes two arguments — a named color and text to be in black on a background of that color — and sets the text in a box with a small margin of width `\fboxsep` (set to 3.0pt in this document).

Here, we want a tighter colored box that has a fixed height, and is independent of letter shape. We set the margin to zero inside a group so that the change is purely local, and so that height and depth of the line are not increased over what they would be if the colored box were not used. We prefix a TeX `\strut` to the user-supplied text, because that command expands to a zero-width box of the height and depth of parentheses, which, in most fonts, delimit the extent of letter shapes.

```
\newcommand {\hilitebox} [1] {{\fboxsep = 0pt\colorbox{pink}{\strut #1}}}
```

Here is a fragment from the first chapter in another thesis, set in *emphasized text* to distinguish it from the rest of this section:

*In light of the known results, the consistency of empirical semivariogram and related estimators is widely considered a settled matter. For example, Lahiri, Lee, and Cressie [**?**] state:*

> *The simpler and more commonly used nonparametric estimators of the variogram, such as the method of moments estimator of Matheron (1962) and its robustified versions due to Cressie and Hawkins (1980) have many desirable properties like, unbiasedness, consistency, etc. . . .*

*Regarding a kernel estimator of the covariance function, Hall and Patil [**?**] remarked:*

> *It is not difficult to see that if, as n increases, the points t_i become increasingly dense in each bounded subset of $\mathbb{R}^d$, then the bandwidth h may be chosen so that $\breve{\rho}(t) \to \rho(t)$ as $n \to \infty$, for each $t \in \mathbb{R}^d$.*

However, in order to be true, such statements would need to be qualified by many assumptions on the random field as well as on the observation locations. We will see in §2.3 that even for well-behaved random fields (e.g., ρ^*-mixing Gaussian random fields), it is not enough to assume that the observation locations become increasingly dense in each bounded subset; a stronger assumption

must be made to ensure that the observation locations do not become denser in one region too much faster than in others.

The text before the previous paragraph contained two `quote` environments separated by a line of prose. Here are some more tests of both kinds of LaTeX environments for showing text written by someone else.

This is a `quote` environment with one short line, following a fairly short paragraph of prose (in this, and following examples, the text is explicitly colored with a command like `\color{purple}` inside the environment before the text):

```
\begin{quote}
    \color{purple}
    14 March 2016 is $\pi \approx 3.1416$ day in funny notation.
    \hfill \emph{Web news reports}
\end{quote}
```

> 14 March 2016 is $\pi \approx 3.1416$ day in funny notation.   *Web news reports*

This is a `quote` environment with three short lines, each a separate paragraph, following a fairly short paragraph of prose.

```
\begin{quote}
    \color{forestgreen}
    14 March 2016 is $\pi \approx 3.1416$ day in funny notation.
    \hfill \emph{Web news reports}

    14 March 2016 is $\pi \approx 3.1416$ day in funny notation.
    \hfill \emph{Web news reports}

    14 March 2016 is $\pi \approx 3.1416$ day in funny notation.
    \hfill \emph{Web news reports}
\end{quote}
```

> 14 March 2016 is $\pi \approx 3.1416$ day in funny notation.   *Web news reports*
> 14 March 2016 is $\pi \approx 3.1416$ day in funny notation.   *Web news reports*
> 14 March 2016 is $\pi \approx 3.1416$ day in funny notation.   *Web news reports*

Here is another example, this time with separate colors for each paragraph:

```
\begin{quote}
```

```
    \color{darkkhaki}
    14 March 2016 is $\pi \approx 3.1416$ day in funny notation.
    \hfill \emph{Web news reports}

    \color{darkmagenta}
    14 March 2016 is $\pi \approx 3.1416$ day in funny notation.
    \hfill \emph{Web news reports}

    \color{darkcyan}
    14 March 2016 is $\pi \approx 3.1416$ day in funny notation.
    \hfill \emph{Web news reports}

    \color{darkorange}
    14 March 2016 is $\pi \approx 3.1416$ day in funny notation.
    14 March 2016 is $\pi \approx 3.1416$ day in funny notation.
    14 March 2016 is $\pi \approx 3.1416$ day in funny notation.
    \linebreak
    \strut
    \hfill \emph{Web news reports}
\end{quote}
```

> 14 March 2016 is $\pi \approx 3.1416$ day in funny notation.   *Web news reports*
>
> 14 March 2016 is $\pi \approx 3.1416$ day in funny notation.   *Web news reports*
>
> 14 March 2016 is $\pi \approx 3.1416$ day in funny notation.   *Web news reports*
>
> 14 March 2016 is $\pi \approx 3.1416$ day in funny notation. 14 March 2016 is $\pi \approx 3.1416$ day in funny notation. 14 March 2016 is $\pi \approx 3.1416$ day in funny notation.   *Web news reports*

Notice that `quote` paragraphs are *not* indented, but the environment itself *is* indented on the left and right by the value of `\leftmargin` (set to `27.37506pt` in this document, which should be identical to `2.5em`, where `1em` = `11.49739pt`).

For debugging purposes, we also have `\leftmargini` set to `27.37506pt`, and we have `\leftmarginii` set to `24.09003pt`.

This is a `quotation` environment with one paragraph, following a fairly short paragraph of prose (notice that the `quotation` paragraphs *are* indented):

```
\begin{quotation}
    \color{blue}
    Algebra is concerned with manipulation in
    \emph{time}, and geometry is concerned with
    \emph{space}. These are two orthogonal aspects
    of the world, and they represent two different
```

```
        points of view in mathematics.   Thus the
        argument or dialogue between mathematicians in
        the past about the relative importance of
        geometry and algebra represents something very
        fundamental.
        \hfill
        \emph{Sir Michael Atiyah}
        % Mathematics in the 20$^{th}$ century
        % NTM {\bf 10}(1--3) 25--39 (September 2002)
        % http://dx.doi.org/10.1007/BF03033096
\end{quotation}
```

Algebra is concerned with manipulation in *time,* and geometry is concerned with *space.* These are two orthogonal aspects of the world, and they represent two different points of view in mathematics. Thus the argument or dialogue between mathematicians in the past about the relative importance of geometry and algebra represents something very fundamental.   *Sir Michael Atiyah*

This is a `quotation` environment with three paragraphs, following a fairly short paragraph of prose:

Algebra is concerned with manipulation in *time,* and geometry is concerned with *space.* These are two orthogonal aspects of the world, and they represent two different points of view in mathematics. Thus the argument or dialogue between mathematicians in the past about the relative importance of geometry and algebra represents something very fundamental.   *Sir Michael Atiyah*
Algebra is concerned with manipulation in *time,* and geometry is concerned with *space.* These are two orthogonal aspects of the world, and they represent two different points of view in mathematics. Thus the argument or dialogue between mathematicians in the past about the relative importance of geometry and algebra represents something very fundamental.   *Sir Michael Atiyah*
Algebra is concerned with manipulation in *time,* and geometry is concerned with *space.* These are two orthogonal aspects of the world, and they represent two different points of view in mathematics. Thus the argument or dialogue between mathematicians in the past about the relative importance of geometry and algebra represents something very fundamental.   *Sir Michael Atiyah*

Now all following text should be back in double-spaced mode, and just go on and on

and on and on and on . . . .

Now all following text should be back in double-spaced mode, and just go on and on . . . .

CHAPTER 1

THE FIRST

This is a chapter. Remember that there should *always* be at least of few lines of prose after each sectional heading: failure to do so is a disservice to your readers, and also produces incorrect vertical spacing.

1.1 The first section

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

In **Figure 1.1** on the following page, we have a picture, and the LaTeX markup to include it looks like this:

```
\begin{figure}[t]
    \centerline{\includegraphics{fig1}}
    \caption{The first figure.}%
    \figlabel{fig1}
\end{figure}
```

We intentionally omitted an extension on the filename, so that this document can be processed with `latex` to get an output `.dvi` file, or with `pdflatex` to get an output `.pdf` file. The first case uses the file `fig1.eps`, and the second uses `fig1.pdf`. The `distill` or `ps2pdf` commands can be used to convert from *Encapulated PostScript* files to *Portable Document Format* files.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

Figure 1.1. The first figure.

1.1.1 The first subsection

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Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah
blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

1.1.2 The second subsection

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.
Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah
blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

1.1.3 The third subsection

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.
Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah
blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

1.1.3.1 The first subsubsection

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.
Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah
blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

1.1.3.2 The second subsubsection

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.
Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah
blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

1.1.3.2.1 The first numbered paragraph Blah blah blah blah blah blah blah blah blah
blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah

blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

1.1.3.2.2 **The second numbered paragraph** Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

1.2 The second section

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

In **Figure 1.2**, we have another picture.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

This is Figure 2

Figure 1.2. The second figure.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

In **Table 1.1**, we show the 24-character lowercase Greek alphabet.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

Table 1.1. Lowercase Greek letters.

α	alpha
β	beta
γ	gamma
δ	delta
ϵ, ε	epsilon
ζ	zeta
η	eta
θ, ϑ	theta
ι	iota
κ	kappa
λ	lambda
μ	mu
ν	nu
ξ	xi
o	omicron
π	pi
ρ	rho
σ, ς	sigma
τ	tau
υ	upsilon
ϕ, φ	phi
χ	chi
ψ	psi
ω	omega

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

1.3 The third section

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

In **Table 1.2** on the next page, we show the 24-character uppercase Greek alphabet, 13 of which are identical with Latin letters, because the Romans borrowed several letters from the earlier Greek alphabet. However, the letter sounds do not always carry over: notice in particular the different names of the letter shapes **H** and **P**. In Modern Greek, β is pronounced *veeta*; the letter pair $\mu\pi$ is used to get a *bee* sound;

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

Table 1.2. Uppercase Greek letters. Notice that several have the same letter shapes as Latin letters, and for those, TEX does not define macro names. For convenience, we supply our own definitions of these macros: `\Alpha, \Beta, \Epsilon, \Zeta, \Eta, \Iota, \Kappa, \Mu, \Nu, \Omicron, \Rho, \Tau,` and `\Chi`.

A	Alpha
B	Beta
Γ	Gamma
Δ	Delta
E	Epsilon
Z	Zeta
H	Eta
Θ	Theta
I	Iota
K	Kappa
Λ	Lambda
M	Mu
N	Nu
Ξ	Xi
O	Omicron
Π	Pi
P	Rho
Σ	Sigma
T	Tau
Υ	Upsilon
Φ	Phi
X	Chi
Ψ	Psi
Ω	Omega

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

1.4   Free software packages

The Free Software Foundation offers almost 300 software packages, most easily portable to many different operating systems and CPU platforms. They include at least these:

`a2ps`, `acct`, `acm`, `adns`, `alive`, `anubis`, `apl`, `archimedes`, `aris`, `aspell`, `auctex`, `autoconf-archive`, `autoconf`, `autogen`, `automake`, `avl`, `ballandpaddle`, `barcode`, `bash`, `bayonne`, `bc`, `binutils`, `bison`, `bool`, `bpel2owfn`, `c-graph`, `ccaudio`, `ccd2cue`, `ccrtp`,

ccscript, cfengine, cflow, cgicc, chess, cim, classpath, classpathx, clisp, combine, commoncpp, complexity, config, coreutils, cpio, cppi, cssc, cursynth, dap, datamash, ddd, ddrescue, dejagnu, denemo, dico, diction, diffutils, dionysus, direvent, dismal, dominion, easejs, ed, edma, electric, emacs, emms, enscript, fdisk, ferret, findutils, fisicalab, flex, fontutils, freedink, freefont, freeipmi, gama, garpd, gawk, gcal, gcc, gcide, gcl, gcompris, gdb, gdbm, gengen, gengetopt, gettext, gforth, ggradebook, ghostscript, gift, gleem, glibc, global, glpk, gmp, gnash, gnats, gnatsweb, gnu-c-manual, gnu-crypto, gnu-pw-mgr, gnubatch, gnubik, gnucap, gnucobol, gnudos, gnue, gnugo, gnuit, gnujump, gnukart, gnumach, gnun, gnunet, gnupod, gnuprologjava, gnuradio, gnurobots, gnuschool, gnushogi, gnusound, gnuspeech, gnuspool, gnustep, gnutls, gnutrition, gnuzilla, goptical, gperf, gprolog, greg, grep, groff, grub, gsasl, gsegrafix, gsl, gslip, gsrc, gss, gtypist, guile-gnome, guile-gtk, guile-ncurses, guile-opengl, guile-rpc, guile-sdl, guile, gv, gvpe, gxmessage, gzip, halifax, health, hello, help2man, hp2xx, httptunnel, hurd, hyperbole, idutils, ignuit, indent, inetutils, intlfonts, jacal, jel, jwhois, kawa, less, libcdio, libextractor, libffcall, libiconv, libidn, libmatheval, libmicrohttpd, librejs, libsigsegv, libtasn1, libtool, libunistring, libxmi, lightning, lilypond, liquidwar6, lsh, m4, macchanger, mailman, mailutils, make, marst, maverik, mc, mcron, mcsim, mdk, metahtml, mifluz, mig, miscfiles, mit-scheme, moe, motti, mpc, mpfr, mpria, mtools, myserver, nano, ncurses, nettle, non-gnu, ocrad, octave, oleo, orgadoc, osip, paperclips, parallel, parted, patch, pem, pexec, phantom, pies, plotutils, proxyknife, pspp, psychosynth, pth, pyconfigure, radius, rcs, readline, recutils, reftex, remotecontrol, rottlog, rpge, rush, sather, sauce, savannah, scm, screen, sed, serveez, sharutils, shishi, shmm, shtool, sipwitch, slib, smalltalk, solfege, spacechart, spell, sqltutor, src-highlite, stow, superopt, swbis, tar, termcap, termutils, teseq, teximpatient, texinfo, thales, time, tramp, trueprint, unifont, units, unrtf, userv, uucp, vc-dwim, vcdimager, vera, wb, wdiff, websocket4j, wget, which, windows, xaos, xboard, xhippo, xlogmaster, xnee, xorriso, and zile.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

In **Figure 1.3**, we have yet another picture.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

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Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

This is Figure 3

Figure 1.3. The third figure. This one has both short and long captions. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

1.5   Resizing figures

In **Figure 1.4** through **Figure 1.8** on the following page, we show how graphics files can be rescaled to convenient sizes, with input like this:

```
\begin{figure}[p]
    \centerline{\includegraphics[scale = 0.5]{fig1}}
    \caption{The fourth figure (at 50\% scale).}%
    \figlabel{fig4}
\end{figure}

\begin{figure}[p]
    \centerline{\includegraphics[scale = 0.75]{fig1}}
    \caption{The fifth figure (at 75\% scale).}%
    \figlabel{fig5}
\end{figure}
```

This is Figure 1

Figure 1.4. The fourth figure (at 50% scale).

This is Figure 1

Figure 1.5. The fifth figure (at 75% scale).

This is Figure 1

Figure 1.6. The sixth figure (at native size).

This is Figure 1

Figure 1.7. The seventh figure (at 125% scale).

This is Figure 1

Figure 1.8. The eighth figure (at 175% scale).

```
\begin{figure}[p]
    \centerline{\includegraphics{fig1}}
    \caption{The sixth figure (at native size).}%
    \figlabel{fig6}
\end{figure}

\begin{figure}[p]
    \centerline{\includegraphics[scale = 1.25]{fig1}}
    \caption{The seventh figure (at 125\% scale).}%
    \figlabel{fig7}
\end{figure}

\begin{figure}[p]
    \centerline{\includegraphics[scale = 1.75]{fig1}}
    \caption{The eighth figure (at 175\% scale).}%
    \figlabel{fig8}
\end{figure}
```

You can include multiple images, each with its own caption inside a single *unbreakable* figure environment, like this example shown in **Figure 1.9** and **Figure 1.10** on the next page, although you might want to adjust interfigure vertical space with a \vspace{} command:

```
\begin{figure}[t]
    \centerline{\includegraphics[scale = 0.5]{fig1}}
    \caption{The fourth figure (at 50\% scale).}%
    \figlabel{fig9}

    \vspace{3ex}

    \centerline{\includegraphics[scale = 0.75]{fig1}}
    \caption{The fifth figure (at 75\% scale).}%
    \figlabel{fig10}
\end{figure}
```

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> **This is Figure 1**

Figure 1.9. The ninth figure (at 50% scale), boxed with the tenth figure.

> # This is Figure 1

Figure 1.10. The tenth figure (at 75% scale), boxed with the ninth figure.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

As a final example in this chapter, **Figure 1.11** on the following page shows how you can use LATEX `picture` mode for annotating and positioning graphics images prepared outside LATEX. The input that produced that figure looks like this:

```
\begin{figure}[t]
    %% The original image is 216bp wide by 72bp high, but we
    %% rescale it to 150 picture units divided by \unitlength:
    %% 150 / 0.75 = 112.5 mm
    \newcommand {\myfig} {\includegraphics[width = 112.5mm]{fig1}}

    \begin{center}
        %% The \unitlength is chosen to make the complete picture fit
        %% within the page margins

        \setlength{\unitlength}{0.75mm}

        %%%     insert (width,height)(lower-left-x,lower-left-y)
        \begin{picture}(170,70)(10,10)
            %% Place the included image FIRST!
            \put(10,10) {\myfig}

            %% Everything that follows OVERLAYS the original image!

            \graphpaper[10](0,0)(170,70)

            %% Mark the image center and corners by centered bullets
            \newcommand {\thedot} {\makebox (0,0) {$\bullet$}}
```

Figure 1.11. Using LaTeX `picture` mode for figure labeling and positioning.

```
            \put( 85, 35) {\thedot}
            \put( 10, 10) {\thedot}
            \put( 10, 60) {\thedot}
            \put(160, 10) {\thedot}
            \put(160, 60) {\thedot}

            \put( 10, 10) {\makebox (0,0) [r] {lower-left}}
            \put(160, 10) {\makebox (0,0) [l] {lower-right}}
            \put( 10, 60) {\makebox (0,0) [r] {upper-left}}
            \put(160, 60) {\makebox (0,0) [l] {upper-right}}
        \end{picture}
    \end{center}

    \vspace{2\baselineskip}

    \caption[Using \LaTeX{} \texttt{picture} mode]
            {Using \LaTeX{} \texttt{picture} mode for figure labeling
             and positioning.}
    \figlabel{picture-mode}
\end{figure}
```

1.6   Summary and conclusions

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

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blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

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    Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

CHAPTER 2

THE SECOND

This is a chapter.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

Here are two article references: [1, 3].

The reference list at the end of this chapter is longer than just those two because of cross-referencing and citations within the BIBTEX entries themselves.

2.1   References

[1] H. P. BABBAGE, *Babbage: Babbage's analytical engine*, Monthly Notices of the Royal Astronomical Society, 70 (1910), pp. 517–526, 645. Reprinted in [6, §2.3].

[2] A. EINSTEIN, *Eine Neue Bestimmung der Moleküldimensionen. (German) [A new determination of molecular dimensions]*, inaugural dissertation, Bern Wyss., Bern, Switzerland, 1905. Published in [3].

[3] ——, *Eine neue Bestimmung der Moleküldimensionen. (German) [A new determination of molecular dimensions]*, Annalen der Physik (1900) (series 4), 324 (1906), pp. 289–306. See corrections [4, 5]. This is a slightly revised version of Einstein's doctoral dissertation [2].

[4] A. EINSTEIN, *Bemerkung zu meiner Arbeit: Eine Beziehung zwischen dem elastischen Verhalten. (German) [Remark on my paper: "A relationship between the elastic behavior . . . "]*, Annalen der Physik (1900) (series 4), 339 (1911), pp. 590–590. See [**?**].

[5] A. EINSTEIN, *Berichtigung zu meiner Arbeit: Eine neue Bestimmung der Moleküldimensionen. (German) [Corrections to my work: a new determination of molecular dimensions]*, Annalen der Physik (1900) (series 4), 339 (1911), pp. 591–592. See [3].

[6] ——, *Eine Beziehung zwischen dem elastischen Verhalten und der spezifischen Wärme bei festen Körpen mit einatomigem Molekül. (German) [A relationship between the elastic behavior and the specific heat of solid bodies with monatomic molecules]*, Annalen der Physik (1900) (series 4), 339 (1911), pp. 170–174, 590. See remarks [4, 5].

[7] B. RANDELL, ed., *The Origins of Digital Computers: Selected Papers*, Texts and monographs in computer science, Springer-Verlag, Berlin, Germany / Heidelberg, Germany / London, UK / etc., third ed., 1982.

CHAPTER 3

THE THIRD

This is a chapter.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

We mention here three doctoral theses [1, 2, 3] and one corporate manual [6].

The reference list at the end of this chapter is longer than just those two because of cross-referencing and citations within the BIBTEX entries themselves.

3.1   References

[1] N. H. D. BOHR, *Studier over Metallernes Elektronteori. (Danish) [Studies on the electron theory of metals]*, doktor disputats, Københavns Universitet, København, Danmark, 1911. Afhandling for den filosofiske doktorgrad. [Thesis for the Doctor of Philosophy.].

[2] P. A. M. DIRAC, *Quantum Mechanics*, Ph.D. thesis, Cambridge University, Cambridge, UK, June 1926. According to [5, p. 101], this is the first thesis to be submitted anywhere on the subject of quantum mechanics.

[3] A. EINSTEIN, *Eine Neue Bestimmung der Moleküldimensionen. (German) [A new determination of molecular dimensions]*, inaugural dissertation, Bern Wyss., Bern, Switzerland, 1905. Published in [4].

[4] ———, *Eine neue Bestimmung der Moleküldimensionen. (German) [A new determination of molecular dimensions]*, Annalen der Physik (1900) (series 4), 324 (1906), pp. 289–306. See corrections [**?**, **?**]. This is a slightly revised version of Einstein's doctoral dissertation [3].

[5] A. EINSTEIN, *Bemerkung zu meiner Arbeit: Eine Beziehung zwischen dem elastischen Verhalten. (German) [Remark on my paper: "A relationship between the elastic behavior …"]*, Annalen der Physik (1900) (series 4), 339 (1911), pp. 590–590. See [**?**].

[6] A. EINSTEIN, *Berichtigung zu meiner Arbeit: Eine neue Bestimmung der Moleküldimensionen. (German) [Corrections to my work: a new determination of molecular dimensions]*, Annalen der Physik (1900) (series 4), 339 (1911), pp. 591–592. See [4].

[7] G. FARMELO, *The Strangest Man: The Hidden Life of Paul Dirac, Mystic of the Atom*, Basic Books, New York, NY, USA, 2009.

[8] POSTSCRIPT DEVELOPER TOOLS & STRATEGIES GROUP, ADOBE SYSTEMS INC., *Adobe font metric files specification — Version 3.0*, Mountain View, CA, USA, Mar. 1990.

CHAPTER 4

THE FOURTH

This is a chapter.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

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Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

4.1   More on the topic

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

4.2   Even more on the topic

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah. Blah

blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah blah.

4.3   Summary and conclusions

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CHAPTER 5

EXTENDING TEX AND METAFONT WITH FLOATING POINT ARITHMETIC

In this chapter, we demonstrate how to incorporate another document, such as a previously-published paper, into a dissertation or thesis.

The University of Utah Thesis Office encourages this practice for publications related to the thesis, with these requirements:

- You must have received permission to reproduce the document from its copyright holder, which, for journals is normally the journal publisher. Such permissions would normally be granted on written request, but might take a few weeks to process, so plan ahead accordingly.

- A PDF file of the publication is included as *single* chapter, with optional leading commentary, and suitably scaled for a maximal fit within the normal page boundaries (in Spring 2016, 6.00 inches wide by 8.65 inches high). The standard LaTeX package `pdfpages` provides the necessary support for inclusion of all, or part, of a multipage PDF file.

- The included document has its original (usually single) line spacing, even though most of a thesis uses double spacing.

- Sectional headings corresponding to the included PDF file must be generated for the table of contents.

- Even if the rest of thesis includes page headers with short chapter and section titles, the included document should have only page numbers at the top of each page, because the inclusion may already have running headers.

- Because the included document usually has its own bibliography, any remaining chapters that cite other publications must have separate bibliographies as well. There is then no end-of-volume reference list.

Because the inclusion is a *verbatim* copy of a previously-published document, the Thesis Office accepts it as-is, and does not proofread it, nor does it require that it conform to the normal thesis formating rules.

Two additional packages are required in the top-level LaTeX document preamble:

```
\usepackage {pdfpages}           % support for multipage PDF inclusion
...
\usepackage {uuthesis-2016-f}    % usual fixups after standard packages
...
\usepackage {uuthesis-chapterbib} % support for chapter bibliographies
```

The chapter might begin with some commentary on the publication, and an acknowledgment of copyright permission.

It is followed by a setup command, then commands to generate table-of-contents entries, using the page numbers of the *original* publication. Those numbers are adjusted automatically for use in the table of contents. Finally, a single command includes a scaled version of the document as the contents of the rest of the chapter. That inclusion automatically starts on a new page.

For this sample thesis, here is what the input might look like:

```
\chapter{Extending \TeX{} and METAFONT with floating point arithmetic}%
\label  {tug-paper}
...
\setupuuchapterbib

The article in this chapter was originally published in
\emph{TUGboat}, {\bf 28(3)} (2007) 501--510.  It is reproduced
here with permission of the publisher.

\uudummysection {Dedication}                            {1}
\uudummysection {Introduction}                          {1}
\uudummysection {Arithmetic in \TeX{} and METAFONT}     {1}
\uudummysection {Historical remarks}                    {1}
\uudummysection {Why no floating-point arithmetic?}     {3}
\uudummysection {IEEE 754 binary floating-point standard} {4}
```

```
\uudummysection {IEEE 754R precision and range}        {4}
\uudummysection {Remarks on floating-point arithmetic}  {5}
\uudummysection {Binary versus decimal}                 {5}
\uudummysection {Problems with IEEE 754 arithmetic}     {6}
\uudummysection {How decimal arithmetic is different}   {6}
\uudummysection {Software floating-point arithmetic}    {6}
\uudummysection {How much work is needed}               {8}
\uudummysection {Summary}                               {8}
\uudummysection {References}                             {8}

%%% NB: - means all pages.  Adjust scale to fit in thesis page box:
\includepdf [
              pages = -,          % want all document pages
              scale = 0.91,       % adjust to fit thesis page box
              pagecommand = {\pagestyle{plain}} % bare page numbers
            ]
            {tug2007.pdf}
```

If the included document has subsections and subsubsections, and/or figures and table, you could generate table-of-contents values for them with additional commands like these:

```
\uudummysubsection    {Blah blah blah}            {3}
\uudummysubsubsection {Blah blah blah}            {3}
\uudummysubsubsection {Blah blah blah blah}       {4}
\uudummyfigure        {Blah blah blah blah bla}   {7}
\uudummytable         {Blah blah blah blah bla}  {10}
```

However, you need not include more sectional levels than you use for other chapters of your thesis.

At this point, we issue the block of commands above, beginning with \setupuuchapterbib:

The article in this chapter was originally published in *TUGboat*, **28(3)** (2007) 501–510. It is reproduced here with permission of the publisher.

Extending TeX and METAFONT with floating-point arithmetic

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Abstract

The article surveys the state of arithmetic in TeX and METAFONT, suggests that they could usefully be extended to support floating-point arithmetic, and shows how this could be done with a relatively small effort, *without* loss of the important feature of platform-independent results from those programs, and *without* invalidating any existing documents, or software written for those programs, including output drivers.

Contents

1 Dedication

This article is dedicated to Professors Donald Knuth (Stanford University) and William Kahan (University of California, Berkeley), with thanks for their many scientific and technical contributions, and for their writing.

2 Introduction

Arithmetic is a fundamental feature of computer programming languages, and for some of us, the more we use computers, the more inadequate we find their computational facilities. The arithmetic in TeX and METAFONT is particularly limiting, and this article explains why this is so, why it was not otherwise, and what can be done about it now that these two important programs are in their thirtieth year of use.

3 Arithmetic in TeX and METAFONT

Before we look at issues of arithmetic in general, it is useful to summarize what kinds of numbers TeX and METAFONT can handle, and how they do so.

TeX provides binary integer and fixed-point arithmetic. Integer arithmetic is used to count things, such as with TeX's `\count` registers. Fixed-point arithmetic is needed for values that have fractional parts, such as the `\dimen` dimension registers, the `\muskip` and `\skip` glue registers, and scale factors, as in `0.6\hsize`.

For portability reasons, TeX requires that the host computer support an integer data type of at least 32 bits. It uses that type for the integer arithmetic available to TeX programs. For fixed-point numbers, it reserves the lower 16 bits for the fractional part, and all but two of the remaining bits for the integer part. Thus, on the 32-bit processors that are commonly found in personal computers, 14 bits are available for the integer part. One of the remaining two bits is chosen as a sign bit, and the other is used to detect *overflow*, that is, generation of a number that is too large to represent.

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When fractional numbers represent TeX dimensions, the low-order fraction bit represents the value 2^{-16} pt. While printer's points have been a common unit of measurement since well before the advent of computer-based typesetting, this tiny value is new with TeX, and has the special name *scaled point*. The value 1 sp is so small that approximately 100 sp is about the wavelength of visible light. This ensures that differences of a few scaled points in the positioning of objects on the printed page are completely invisible to human eyes.

The problem with fixed-point numbers in TeX is at the other end: 14 integer bits can only represent numbers up to 16383. As a dimension, that many points is about 5.75 m, which is probably adequate for printed documents, but is marginal if you are typesetting a billboard. The PDP-10 computers on which TeX and METAFONT were developed had 36-bit words: the four extra bits raised the maximum dimension by a factor of 16. Nevertheless, if TeX's fixed-point numbers are used for purposes other than page dimensions, then it is easy to exceed their limits.

TeX is a macro-extensible language for typesetting, and arithmetic is expected to be relatively rare. TeX has little support for numerical expressions, just verbose low-level operators, forcing the TeX programmer to write code such as this fragment from `layout.tex` to accomplish the multiply-add operation noted in the comment:

```
% MRGNOTEYA = 0.75*TEXTHEIGHT + FOOTSKIP
\T = \TEXTHEIGHT
\multiply \T by 75  % possible overflow!
\divide \T by 100
\advance \T by \FOOTSKIP
\xdef \MRGNOTEYA {\the \T}
```

Notice that the scale factor 0.75 could have been reduced from 75/100 to 3/4 in this example, but that is not in general possible. Similarly, here we could have written `\T = 0.75 \TEXTHEIGHT`, but that is not possible if the constant 0.75 is replaced by a variable in a register. The multiplication by 75 can easily provoke an overflow if `\T` is even as big as a finger length:

```
*\dimen1 = 220pt

*\dimen2 = 75\dimen1
! Dimension too large.

*\multiply \dimen1 by 75
! Arithmetic overflow.
```

See the LaTeX `calc` package for more horrors of fixed-point arithmetic.

TeX has, however, also seen use a scripting language, chosen primarily because of its superb quality,

stability, reliability, and platform independence. TeX distributions now contain macro packages and utilities written in TeX for generating complex font tables, for packing and unpacking document archives, for scanning PostScript graphics files, and even for parsing SGML and XML.

TeX's arithmetic does not go beyond the four basic operations of *add*, *subtract*, *multiply*, and *divide*. In particular, no elementary functions (square root, exponential, logarithm, trigonometric and hyperbolic functions, and so on) are provided in TeX itself, even though, in principle, they can be provided with macro packages.

In TeX, overflow is detected in division and multiplication but not in addition and subtraction, as I described in my *TUG 2003* keynote address [4].

Input numbers in METAFONT are restricted to 12 integer bits, and the result of even trivial expressions can be quite surprising to users:

```
% mf expr
gimme an expr: 4095        >> 4095
gimme an expr: 4096
! Enormous number has been reduced.
>> 4095.99998
gimme an expr: infinity    >> 4095.99998
gimme an expr: epsilon     >> 0.00002
gimme an expr: 1/epsilon
! Arithmetic overflow.
>> 32767.99998
gimme an expr: 1/3         >> 0.33333
gimme an expr: 3*(1/3)     >> 0.99998
gimme an expr: 1.2 - 2.3   >> -1.1
gimme an expr: 1.2 - 2.4   >> -1.2
gimme an expr: 1.3 - 2.4   >> -1.09999
```

Notice that although 4096 is considered an overflow, internally METAFONT can generate a number almost eight times as large. Binary-to-decimal conversion issues produce the anomaly in $3 \times (1/3)$. The last line shows that even apparently simple operations are not so simple after all.

Overflows in METAFONT can also produce a report like this:

```
Uh, oh.  A little while ago one of the
quantities that I was computing got
too large, so I'm afraid your answers
will be somewhat askew.  You'll
probably have to adopt different
tactics next time.  But I shall try to
carry on anyway.
```

METAFONT provides a few elementary functions: ++ (Pythagoras), abs, angle, ceiling, cosd, dir, floor, length, mexp, mlog, normaldeviate, round,

Extending TeX and METAFONT with floating-point arithmetic

`sind`, `sqrt`, and `uniformdeviate`. They prove useful in the geometric operations required in font design.

4 Historical remarks

TeX and METAFONT are not the only systems that suffer from the limitations of fixed-point arithmetic. Most early computers were inadequate as well:

> It is difficult today to appreciate that probably the biggest problem facing programmers in the early 1950s was scaling numbers so as to achieve acceptable precision from a fixed-point machine.

> Martin Campbell-Kelly
> *Programming the Mark I: Early Programming Activity at the University of Manchester*
> Annals of the History of Computing,
> **2**(2) 130–168 (1980)

Scaling problems can be made much less severe if numbers carry an exponent as well as integer and fractional parts. We then have:

> Floating Point Arithmetic … The subject is not at all as trivial as most people think, and it involves a surprising amount of interesting information.

> Donald E. Knuth
> *The Art of Computer Programming: Seminumerical Algorithms* (1998)

However, more than just an exponent is needed; the arithmetic system also has to be predictable:

> Computer hardware designers can make their machines much more pleasant to use, for example by providing *floating-point arithmetic* which satisfies simple mathematical laws.
> The facilities presently available on most machines make the job of rigorous error analysis *hopelessly difficult*, but properly designed operations would encourage numerical analysts to provide better subroutines which have certified accuracy.

> Donald E. Knuth
> *Computer Programming as an Art*
> *ACM Turing Award Lecture* (1973)

5 Why no floating-point arithmetic?

Neither TeX nor METAFONT have floating-point arithmetic natively available, and as I discussed in my *Practical TeX 2005* keynote address [3], there is a very good reason why this is the case. Their output needs to be identical on all platforms, and when they were developed, there were many different computer vendors, some of which had several incompatible product lines.

This diversity causes several problems, some of which still exist:

- There is system dependence in *precision*, *range*, *rounding*, *underflow*, and *overflow*.
- The number base varies from 2 on most, to 3 (Setun), 4 (Illiac II), 8 (Burroughs), 10, 16 (IBM S/360), 256 (Illiac III), and 10000 (Maple).
- Floating-point arithmetic exhibits bizarre behavior on some systems:
  - $x \times y \neq y \times x$ (early Crays);
  - $x \neq 1.0 \times x$ (Pr1me);
  - $x + x \neq 2 \times x$ (Pr1me);
  - $x \neq y$ but $1.0/(x - y)$ gets zero-divide error;
  - wrap between underflow and overflow (e.g., C on PDP-10);
  - job termination on overflow or zero-divide (most).
- No standardization: almost every vendor had one or more distinct floating-point systems.
- Programming language dependence on available precisions:
  - Algol, Pascal, and SAIL (only `real`): recall that SAIL was the implementation language for the 1977–78 prototypes of TeX and METAFONT;
  - Fortran (`REAL`, `DOUBLE PRECISION`, and on some systems, `REAL*10` or `REAL*16`);
  - C/C++ (originally only `double`, but `float` added in 1989, and `long double` in 1999);
  - C# and Java have only `float` and `double` data types, but their arithmetic is badly botched: see Kahan and Darcy's *How Java's Floating-Point Hurts Everyone Everywhere* [28].
- Compiler dependence: multiple precisions can be mapped to just one, without warning.
- BSD compilers on IA-32 still provide no 80-bit format after 27 years in hardware.
- Input/output problem requires base conversion, and is *hard* (e.g., conversion from 128-bit binary format can require more than 11 500 decimal digits).
- Most languages do not guarantee exact base conversion.

Donald Knuth wrote an interesting article with the intriguing title *A simple program whose proof isn't* [29] about how TeX handles conversions between fixed-point binary and decimal. The restriction to fixed-point arithmetic with 16-bit fractional parts simplifies the base-conversion problem, and allows TeX to

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guarantee exact round-trip conversions of such numbers.

TeX produces the same line- and page-breaking across all platforms, because floating-point arithmetic is used only for interword glue calculations that could change the horizontal position of a letter by at most a few scaled points, but as we noted earlier, that is invisible.

METAFONT has no floating-point at all, and generates identical fonts on all systems.

6 IEEE 754 binary floating-point standard

With the leadership of William Kahan, a group of researchers in academic, government, and industry began a collaborative effort in the mid-1970s to design a new and much improved floating-point architecture. The history of this project is chronicled in an interview with Kahan [37, 38].

A preliminary version of this design was first implemented in the Intel 8087 chip in 1980, although the design was not finalized until its publication as IEEE Standard 754 in 1985 [23].

Entire books have beeen written about floating-point arithmetic: see, for example, Sterbenz [41] for historical systems, Overton [35] for modern ones, Omondi [34] and Parhami [36] for hardware, Goldberg [15, 17] for an excellent tutorial, and Knuth [30, Chap. 4] for theory. I am hard at work on writing two more books in this area. However, here we need only summarize important features of the IEEE 754 system:

- Three formats are defined: 32-bit, 64-bit, and 80-bit. A 128-bit format was subsequently provided on some Alpha, IA-64, PA-RISC, and SPARC systems.

- Nonzero normal numbers are *rational*: $x = (-1)^s f \times 2^p$, where the *significand, f*, lies in [1, 2).

- Signed zero allows recording the direction from which an underflow occurred, and is particularly useful for arithmetic with complex (real + imaginary) numbers. The IEEE Standard requires that $\sqrt{-0}$ evaluate to -0.

- The largest stored exponent represents Infinity if $f = 0$, and either quiet or signaling NaN (Not-a-Number) if $f \neq 0$. A vendor-chosen significand bit distinguishes between the two kinds of NaN.

- The smallest stored exponent allows leading zeros in f for *gradual underflow* to *subnormal* values.

- The arithmetic supports a model of fast *nonstop* computing. Sticky flags record exceptions, and Infinity, NaN, and zero values automatically

replace out-of-range values, without the need to invoke an exception handler, although that capability may also be available.

- Four rounding modes are provided:
  - *to nearest with ties to even* (default);
  - *to* +∞;
  - *to* −∞;
  - *to zero* (historical chopping).

- Values of ±∞ are generated from huge/tiny and finite/0.

- NaN values are generated from 0/0, ∞ − ∞, ∞/∞, and any operation with a NaN operand.

- A NaN is returned from functions when the result is undefined in real arithmetic (e.g., $\sqrt{-1}$), or when an argument is a NaN.

- NaNs have the property that they are unequal to anything, even themselves. Thus, the C-language inequality test x != x is true *if, and only if*, x is a NaN, and should be readily expressible in *any* programming language. Sadly, several compilers botch this, and get the wrong answer.

7 IEEE 754R precision and range

In any computer arithmetic system, it is essential to know the available range and precision. The precisions of the four IEEE 754 binary formats are equivalent to approximately 7, 15, 19, and 34 decimal digits. The approximate ranges as powers of ten, including subnormal numbers, are $[-45, 38]$, $[-324, 308]$, $[-4951, 4932]$, and $[-4966, 4932]$. A future 256-bit binary format will supply about 70 decimal digits, and powers-of-ten in $[-315\,723, 315\,652]$.

A forthcoming revision of the IEEE Standard will include decimal arithmetic as well, in 32-, 64-, and 128-bit storage sizes, and we can imagine a future 256-bit size. Their precisions are 7, 16, 34, and 70 decimal digits, where each doubling in size moves from n digits to $2n + 2$ digits. Their ranges are wider than the binary formats, with powers of ten in $[-101, 96]$, $[-398, 384]$, $[-6176, 6144]$, and $[-1\,572\,932, 1\,572\,864]$.

In each case, the range and precision are determined by the number of bits allocated for the sign and the significand, and for the decimal formats, by restrictions imposed by the compact encodings chosen for packing decimal digits into strings of bits.

It is highly desirable that each larger storage size increase the exponent range (many older designs did not), and at least double the significand length, since that guarantees that products evaluated in the next higher precision *cannot overflow*, and are *exact*. For example, the Euclidean distance $\sqrt{x^2 + y^2}$ is then trivial

to compute; otherwise, its computation requires careful rescaling to avoid premature underflow and overflow.

8 Remarks on floating-point arithmetic

Contrary to popular misconception, even present in some books and compilers, floating-point arithmetic is *not fuzzy*:

- Results are *exact* if they are representable.
- Multiplication by a power of base is always exact, in the absence of underflow and overflow.
- Subtracting numbers of like signs and exponents is *exact*.

Bases other than 2 or 10 suffer from *wobbling precision* caused by the requirement that significands be normalized. For example, in hexadecimal arithmetic, $\pi/2 \approx 1.571 \approx 1.922_{16}$ has three fewer bits (almost one decimal digit) than $\pi/4 \approx 0.7854 \approx c.910_{16}$. Careful coders on such systems account for this in their programs by writing

```
y = (x + quarter_pi) + quarter_pi;
```

instead of

```
y = x + half_pi;
```

Because computer arithmetic systems have finite range and precision, they are not *associative*, so commonly-assumed mathematical transformations do not hold. In particular, it is often necessary to control evaluation order, and this may be at odds with what the compiler, or even a high-performance CPU with dynamic instruction reordering, does with the code.

The presence of multiple rounding modes also invalidates common assumptions. For example, the Taylor series for the sine function begins

$$\sin(x) = x - (1/3!)x^3 + (1/5!)x^5 - \cdots.$$

If x is small enough, because of finite precision, one might expect that $\sin(x)$ could be computed simply as x. However, that is only true for the default rounding mode; in other modes, the correct answer could be one *ulp* (unit in the last place) higher or lower, so at least two terms must be summed. Similarly, the mathematical equivalence $-(xy + z) \equiv (-xy - z)$ does not hold in some rounding modes. Except for some special numbers, it is not in general permissible to replace slow division with fast multiplication by the reciprocal, even though many optimizing compilers do that.

Some of the common elementary functions are *odd* ones: they satisfy $f(x) = -f(-x)$. This relation does not in general hold computationally if a rounding direction of other than *round-to-nearest* is in effect. Software designers are then forced to decide whether

obeying computer rounding modes is more important than preserving fundamental mathematical symmetries: in well-designed software, symmetry wins. Nevertheless, in some applications, like *interval arithmetic*, which computes upper and lower bounds for every numeric operation, precise control of rounding is imperative, and overrides symmetry.

See Monniaux [33] for a recent discussion of some of the many problems of floating-point evaluation. A good part of the difficulties described there arise because of higher intermediate precision in the Intel IA-32 architecture, the most common desktop CPU family today. Other problems come from unexpected instruction reordering or multiple threads of execution, and the incidence of these issues increases with each new generation of modern processors.

9 Binary versus decimal

Why should we care whether a computer uses binary or decimal arithmetic? Here are some reasons why a switch to decimal arithmetic has advantages:

- Humans are less uncomfortable with decimal arithmetic.
- In some case, binary arithmetic always gets the wrong answer. Consider this sales tax computation: 5% of 0.70 = 0.0349999… in *all* binary precisions, instead of the exact decimal 0.035. Thus, there can be significant cumulative rounding errors in businesses with many small transactions (food, music downloading, telephone, …).
- Financial computations need fixed-point decimal arithmetic.
- Hand calculators use decimal arithmetic.
- Additional decimal rounding rules (eight instead of four) handle the financial and legal requirements of some jurisdictions.
- Decimal arithmetic eliminates most base-conversion problems.
- There is a specification of decimal arithmetic subsumed in the *IEEE 854-1987 Standard for Radix-Independent Floating-Point Arithmetic* [21].
- Older Cobol standards require 18D fixed-point.
- Cobol 2002 requires 32D fixed-point *and* floating-point.
- Proposals to add decimal arithmetic to C and C++ were submitted to the ISO language committees in 2005 and 2006.
- Twenty-five years of Rexx and NetRexx scripting languages give valuable experience in arbitrary-precision decimal arithmetic.

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- The excellent IBM decNumber library provides *open source* decimal floating-point arithmetic with a billion (10^9) digits of precision and exponent magnitudes up to 999 999 999.
- Preliminary support in gcc for +, −, ×, and / became available in late 2006, based on a subset of the IBM decNumber library.
- The author's mathcw package [5] provides a C99-compliant run-time library for binary, and also for decimal, arithmetic (2005–2008), with hundreds of additional functions, and important and useful extensions of the I/O functions.
- IBM zSeries mainframes got IEEE 754 binary floating-point arithmetic in 1999, and decimal floating-point arithmetic in firmware in 2006.
- The IBM PowerPC version 6 chips announced on 21 May 2007 add hardware decimal arithmetic, probably the first mainstream processor to do so in more than four decades.
- Hardware support seems likely in future Intel IA-32 and EM64T (x86_64) processors, and the current family members are among the most widely-used in the world for general-purpose computing. Other chip vendors will have to offer similar facilities to remain competitive.

10   Problems with IEEE 754 arithmetic

Despite the many benefits of IEEE 754 floating-point arithmetic, there are many impediments to its effective use:

- Language access to features has been slow: more than 27 years have passed since the Intel 8087, and we are still waiting!
- Programmer unfamiliarity, ignorance, and inexperience.
- A deficient educational system, both in academia, and in textbooks, leaves most programmers with little or no training in floating-point arithmetic.
- Partial implementations by some vendors deny access to important features (e.g., subnormals may flush to zero, IA-32 has only one NaN, IA-32 and IA-64 have imperfect rounding, Java and C# lack rounding modes and higher precisions).
- Long internal registers are generally beneficial, but also produce many computational surprises and double rounding [33], compromising portability.
- Rounding behavior at underflow and overflow limits is unspecified by the IEEE standards, and thus, is vendor dependent.

- Overeager, or incorrect, optimizations by compilers may produce wrong results, and prevent obtaining similar results across different platforms, or between different compilers on the same system, or even from the same compiler with different options.
- Despite decades of availability of IEEE 754 arithmetic, some compilers still mishandle signed zeros and NaNs, and it can be difficult to convince compiler vendors of the significance of such errors (I know, because I've tried, and failed).

11   How decimal arithmetic is different

Programmers in science and engineering have usually only had experience with binary floating-point arithmetic, and some relearning is needed for the move to decimal arithmetic:

- Nonzero normal floating-point numbers take the form $x = (-1)^s f \times 10^p$, where f is an *integer*, allowing simulation of fixed-point arithmetic.
- Lack of normalization means multiple storage forms, but 1., 1.0, 1.00, 1.000, … compare equal, as long as floating-point instructions, rather than bitwise integer comparisons, are used.
- *Quantization* is detectable (e.g., for financial computations, 1.00 differs from 1.000).
- Signed zero and infinity, plus quiet and signaling NaNs, are detectable from the first byte, whereas binary formats require examination of all bits.
- There are *eight* rounding modes because of legal and tax mandates.
- Compact storage formats — Densely-Packed Decimal (DPD) [IBM] and Binary-Integer Decimal (BID) [Intel] — need fewer than BCD's four bits per decimal digit.

12   Software floating-point arithmetic

It may be better in some applications to have floating-point arithmetic entirely in software, as Apple once did with the no-longer-supported SANE (Standard Apple Numerics Environment) system. Here are some reasons why:

- TEX and METAFONT must continue to guarantee identical results across platforms.
- Unspecified behavior of low-level arithmetic guarantees *platform dependence*.
- Floating-point arithmetic is not associative, so instruction ordering (e.g., compiler optimization) affects results.

Extending TₑX and METAFONT with floating-point arithmetic

- Long internal registers on some platforms, and not on others, alter precision, and results.
- Multiply-add computes $x \times y + z$ with *exact* product and single rounding, getting different result from separate operations.
- Conclusion: only a single *software* floating-point arithmetic system in TₑX and METAFONT can guarantee *platform-independent results.*

Software is often best enhanced by connecting two or more systems with a clean and simple interface:

> What if you could provide a seamlessly integrated, fully dynamic language with a conventional syntax while increasing your application's size by less than 200K on an x86? You can do it with *Lua*!
>
> Keith Fieldhouse

If we want to have floating-point arithmetic in TₑX and METAFONT, then rather than modify those stable and reliable programs, including adding convenient expression syntax, and a substantial function library, there is a cleaner, and easier, approach:

- There is no need to modify TₑX beyond what has already been done: LuaTₑX interfaces TₑX to a clean and well-designed scripting language — we just need to change the arithmetic and library inside `lua`.
- Scripting languages usually offer a single floating-point datatype, typically equivalent to IEEE 754 64-bit `double` (that is all that the C language used to have).
- `qawk` and `dnawk` are existing extensions by the author of `awk` for 128-bit binary and decimal arithmetic, respectively.
- Modern machines are fast and memories are big. We could adopt a 34D 128-bit format, or better, a 70D 256-bit format, instead as default numeric type.
- The author's `mathcw` package [5] is a highly-portable open-source library with support for *ten* floating-point precisions, including 256-bit binary and decimal.

Two more quotes from the father of the IEEE 754 design lead into our next points:

> The convenient accessibility of double-precision in many Fortran and some Algol compilers indicates that double-precision will soon be universally acceptable as a substitute for ingenuity in the solution of numerical problems.
>
> W. Kahan

> *Further Remarks on Reducing Truncation Errors*
> Comm. ACM **8**(1) 40, January (1965)
>
> Nobody knows how much it would cost to compute y^w correctly rounded for every two floating-point arguments at which it does not over/underflow. Instead, reputable math libraries compute elementary transcendental functions mostly within slightly more than half an ulp and almost always well within one ulp. Why can't y^w be rounded within half an ulp like SQRT? Because nobody knows how much computation it would cost.... No general way exists to predict how many extra digits will have to be carried to compute a transcendental expression and round it correctly to some preassigned number of digits. Even the fact (if true) that a finite number of extra digits will ultimately suffice may be a deep theorem.
>
> W. Kahan
> *Wikipedia entry*

We need more than just the basic four operations of arithmetic: several dozen elementary functions, and I/O support, are essential.

- The *Table Maker's Dilemma* (Kahan) is the problem of always getting exactly-rounded results when computing the elementary functions. Here is an example of a hard case:
  `log(+0x1.ac50b409c8aeep+8) =`
  `0x60f52f37aecfcfffffffffffffffffeb...p-200`
  There are 62 consecutive 1-bits in that number, and at least $4 \times 13 + 62 + 1 = 115$ bits must be computed correctly in order to determine the correctly-rounded 53-bit result.

- Higher-than-needed-precision arithmetic provides a practical solution to the dilemma, as the Kahan quote observes.

- Random-number generation is a common portability problem, since algorithms for that computation are platform-dependent and vary in quality. Fortunately, several good ones are now known, and can be supplied in libraries, although careful attention still needs to be given to computer wordsize.

- The `mathcw` library gives *platform-independent* results for decimal floating-point arithmetic, since evaluation order is completely under programmer control, and identical everywhere, and the underlying decimal arithmetic is too.

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13 How much work is needed?

I argue that decimal floating-point arithmetic in software, isolated in a separate scripting language, is an effective and reasonable way to extend TEX and META-FONT so that they can have access to floating-point arithmetic, and remove the limitations and nuisance of fixed-point arithmetic that they currently suffer.

It is therefore appropriate to ask what kind of effort would be needed to do this. In four separate experiments with three implementations of awk, and one of lua, I took two to four hours each, with less than 3% of the code requiring changes:

Program	Lines	Deleted	Added
dgawk	40 717	109	165
dlua	16 882	25	94
dmawk	16 275	73	386
dnawk	9 478	182	296
METAFONT in C	30 190	0	0
TEX in C	25 215	0	0

14 Summary

Had the IEEE 754 design been developed before TEX and METAFONT, it is possible that Donald Knuth would have chosen a software implementation of binary floating-point arithmetic, as he later provided for the MMIX virtual machine [2, 31, 32] that underlies the software analyses in newer editions of his famous book series, *The Art of Computer Programming*.

That did not happen, so in this article, I have shown how a different approach might introduce *decimal* floating-point arithmetic to TEX and METAFONT through a suitable scripting language, for which Lua [26, 25, 27] seems eminently suited, and has already been interfaced to TEX and is now in limited use for production commercial typesetting, and also for document style-file design. By selecting high working precision, at least 34 decimal digits and preferably 70, many numerical issues that otherwise compromise portability and reproducibility of typeset documents simply disappear, or at least, become highly improbable.

To make this workable, the compilers, the basic software arithmetic library, the elementary function library, and the I/O library need to be highly portable. The combination of the GNU gcc compiler family with the IBM decNumber library and the author's mathcw library satisfy all of these requirements. Within a year or two, we may therefore expect that decimal floating-point arithmetic in C could be available on all of the common platforms, allowing future TEX Live releases to build upon that foundation, and LuaTEX could become the TEX version of choice in many environments. LuaMETAFONT and LuaMETAPOST could soon follow.

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1. Table 5 (page 124):
   insert `k <-- 0` after assertion, and also delete `k <-- 0` from Table 6.
2. Table 9 (page 125):
   ```
   for        -1:USER!("");
   substitute  -1:USER!("0");
   ```
   and delete the comment.
3. Table 10 (page 125):
   ```
   for         fill(-k, "0")
   substitute   fill(-k-1, "0")
   ```

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APPENDIX A

THE FIRST

This is an appendix. Notice that the LaTeX markup for an appendix is, surprisingly, `\chapter`. The `\appendix` command does not produce a heading; instead, it just changes the numbering style from numeric to alphabetic, and it changes the heading prefix from **CHAPTER** to **APPENDIX**.

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APPENDIX B

THE SECOND

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APPENDIX C

THE THIRD

This is an appendix.

There are several books [13, 20, 21, 22, 24, 25, 26, 28, 29, 30, 31] listed in our bibliography.

We also reference several journal articles [1, 2, 3, 5, 9, 10, 11, 14, 15, 16, 17, 18, 19, 23, 32, 33] and three famous doctoral theses of later winners [4, 7, 8] of the Nobel Prize in Physics (1922, 1933, and 1921):

Mention should also be made of a famous Dutch computer scientist's first publication [6].

Font metrics are an important, albeit low-level, aspect of typesetting. See the *Adobe Systems* manual about that company's procedures [27].

The bibliography at the end of this thesis contains several examples of documents with non-English titles, and their BibTeX entries provide title translations following the practice recommended by the American Mathematical Society and SIAM. Here is a sample entry that shows how to do so:

```
@PhdThesis{Einstein:1905:NBM,
  author =        "Albert Einstein",
  title =         "{Eine Neue Bestimmung der Molek{\"u}ldimensionen}.
                  ({German}) [{A} new determination of molecular
                  dimensions]",
  type =          "Inaugural dissertation",
  school =        "Bern Wyss.",
  address =       "Bern, Switzerland",
  year =          "1905",
  bibdate =       "Fri Dec 17 10:46:57 2004",
  bibsource =     "http://www.math.utah.edu/pub/tex/bib/einstein.bib",
  note =          "Published in \cite{Einstein:1906:NBM}.",
  acknowledgement = ack-nhfb,
  language =      "German",
  advisor =       "Alfred Kleiner (24 April 1849--3 July 1916)",
  URL =           "http://en.wikipedia.org/wiki/Alfred_Kleiner",
```

```
  remark =         "Received August 19, 1905 and published February 8,
                   1906.",
  Schilpp-number = "6",
}
```

The `note` field in that entry refers to another bibliography entry that need not have been directly cited in the document text. Such cross-references are common in BibTeX files, especially for journal articles where there may be later comments and corrigenda that should be mentioned. Embedded `\cite{}` commands ensure that those possibly-important other entries are always included in the reference list when the entry is cited. The last bibliography entry [33] in this thesis has a long `note` field that tells more about what some may view as the most important paper in mathematics in the last century.

When entries cite other entries that cite other entries that cite other entries that . . . , multiple passes of LaTeX and BibTeX are needed to ensure consistency. That is another reason why document compilation should be guided by a `Makefile` or a batch script, rather than expecting the user to remember just how many passes are needed.

BibTeX entries are *extensible*, in that arbitrary key/value pairs may be present that are not necessarily recognized by any bibliography style files. The `advisor`, `acknowledgement`, `bibdate`, `bibsource`, `language`, `remark`, and `Schilpp-number` fields are examples, and may be used by other software that processes BibTeX entries, or by humans who read the entries. `DOI` and `URL` fields are currently recognized by only a few styles, but that situation will likely change as publishers demand that such important information be included in reference lists.

In BibTeX `title` fields, braces protect words, such as proper nouns and acronyms, that cannot be downcased if the selected bibliography style would otherwise do so. In German, all nouns are capitalized, and the simple way to ensure their protection is to brace the entire German text in the title, as we did in the entry above.

The world's first significant computer program may have been that written in 1842 by Lady Augusta Ada Lovelace (1815–1852) for the computation of Bernoulli numbers [17, 19]. She was the assistant to Charles Babbage (1791–1871), and they are the world's first computer programmers. The programming language *Ada* is named after her, and is defined in the ANSI/MIL-STD-1815A Standard; its number commemorates the year of her

birth.

We do not discuss mathematical *transforms* much in this dissertation, but you can find that phrase in the index.

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